

OCT 5 1984

For Six Month Period Ending \_\_\_\_\_

(Insert date)

Name of Registrant

Registration No.

China Books & Periodicals, Inc.

1350

Business Address of Registrant

2929 24th Street

San Francisco, CA 94110

I-REGISTRANT

1. Has there been a change in the information previously furnished in connection with the following:

(a) If an individual:

- |                       |                              |                             |
|-----------------------|------------------------------|-----------------------------|
| (1) Residence address | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (2) Citizenship       | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (3) Occupation        | Yes <input type="checkbox"/> | No <input type="checkbox"/> |

(b) If an organization:

- |                          |                              |  |
|--------------------------|------------------------------|--|
| (1) Name                 | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (2) Ownership or control | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (3) Branch offices       | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |

2. Explain fully all changes, if any, indicated in item 1.

IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4, and 5.

3. Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name

Position

Date Connection  
Ended

4. Have any persons become partners, officers, directors or similar officials during this 6 month reporting period?

Yes ☐ No ☒

If yes, furnish the following information:

Name	Residence Address	Citizenship	Position	Date Assumed
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5. Has any person named in Item 4 rendered services directly in furtherance of the interests of any foreign principal?

Yes ☐ No ☒

If yes, identify each such person and describe his services.

6. Have any employees or individuals other than officials, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting period? Yes ☒ No ☐

If yes, furnish the following information:

Name	Position or connection	Date terminated
Garcia Geeter	Clerical	5/29/84
Sarbat Ramos	Clerical	8/15/84
<del>XXXXXXXXXXXX</del> Michael Horner	Clerical	8/15/84
Lisa Hagan	Clerical	8/1/84

7. During this 6 month reporting period, have any persons been hired as employees or in any other capacity by the registrant who rendered services to the registrant directly in furtherance of the interests of any foreign principal in other than a clerical or secretarial, or in a related or similar capacity? Yes ☒ No ☐

If yes, furnish the following information:

Name	Residence Address	Position or connection	Date connection began
Daniel Hooper	44 McAlister, S.F., CA 94102	Clerical	4/24/84
Hatty Ong	1462 Vallejo, S.F., CA 94109X	Clerical	6/4/84
Louis Hughes	1105 Fourth St., Novato, CA 94947	Clerical	7/30/84
Sandra Rizkallah	407 Vienna, S.F., CA 94112	Clerical	8/6/84
Huguette Barriere	940 Hayes, S.F., CA 94117	Clerical	8/13/84
<del>MM</del> Ming Hua Sun	698 Bush, S.F., CA 94108	Clerical	8/29/84
Tamara Yem	1410 Thistlewood, Carmichael, CA 95608	Clerical	9/30/84
Joseph Katz	34 Woodent Lane, New Rochelle, NY 10804	Clerical	7/30/84
Dauphne Ruffner	2439 11th Ave., Oakland, CA 94606	Clerical	8/20/84
Gary Penn	90 Lexington Ave., New York, NY 10016	Clerical	11/13/84

## II—FOREIGN PRINCIPAL

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8. Has your connection with any foreign principal ended during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

*Name of foreign principal*

*Date of Termination*

9. Have you acquired any new foreign principal<sup>1</sup> during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish following information:

*Name and address of foreign principal*

*Date acquired*

10. In addition to those named in Items 8 and 9, if any, list the foreign principals<sup>1</sup> whom you continued to represent during the 6 month reporting period.

- 1) F.S. Leong, Guoji Shudian, P. O. Box 399, Beijing, People's Republic of China
- 2) Renmin Ribao Publishing House, 2 Jin Tai Xi Lu, Beijing, People's Republic of China
- 3) China Reconstructs, Wai Wen Building, Beijing 37, People's Republic of China

## III—ACTIVITIES

11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 8, 9, and 10 of this statement? Yes ☒ No ☐

If yes, identify each such foreign principal and describe in full detail your activities and services:

- 1) Importation, wholesale & retail distribution of books, periodicals and art items from Guoji Shudian for profit. Activities for retail distribution are identical for China Books & Periodicals, Inc. in San Francisco, New York and Chicago for Guoji Shudian. Wholesale & Mail Order distribution, through the mail and inter-state transport is from San Francisco.
- 2) Printing & distribution of Renmin Ribao daily newspaper for profit. All activities for Renmin Ribao are from San Francisco.
- 3) Printing of China Reconstructs monthly magazine for profit. All activities for China Reconstructs are from San Francisco.

<sup>1</sup>The term "foreign principal" includes, in addition to those defined in section 1(b) of the Act, an individual or organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a)(9)).

A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those foreign principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity<sup>2</sup> as defined below?  
 Yes ☒ No ☐

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places of delivery, names of speakers and subject matter.

In answering YES, reference is made only to the matter of dissemination. China Books & Periodicals, Inc. is a commercial firm organized for profit to distribute books, periodicals, etc., on order of customers as a general service. Publications imported and distributed are from Guoji Shudian as indicated in (10) and (11). The newspaper printed and distributed is from film supplied by Renmin Ribao as indicated in (10) and (11). The magazine printed is from film and color separations supplied by China Reconstructs as indicated in (10) and (11).

This answer applies to the 3 stores of China Books & Periodicals, Inc. in retail distribution in San Francisco, New York and Chicago. Distribution through the mail and interstate transport and the newspaper/magazine, is from San Francisco.

13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits any or all of your foreign principals? Yes ☐ No ☒

If yes, describe fully.

<sup>2</sup>The term "political activities" means the dissemination of political propaganda and any other activity which the person engaging therein believes will, or which he intends to, prevail upon, indoctrinate, convert, induce, persuade, or in any other way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting, or changing the domestic or foreign policy of the United States or with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

## IV—FINANCIAL INFORMATION

## 14. (a) RECEIPTS—MONIES

During this 6 month reporting period, have you received from any foreign principal named in Items 8, 9 and 10 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes ☒ No ☐

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies.<sup>3</sup>

February 1, 1984–July 31, 1984

Date	From Whom	Purpose			Amount
1) Guoji Shudian:	San Francisco	New York	Chicago	Total	
Sales of publications--	\$359,142.06	\$21,511.63	\$10,446.44	\$391,100.13	
Amt. retained as profit--	\$ 3,120.06	\$ 3,348.79	\$ 428.99	\$ 11,897.84	
2) Renmin Ribao					
Amount received from Renmin Ribao for printing & distribution --				\$471,034.42	
Amount retained as profit --				\$ 58,280.32	
3) China Reconstructs					
Amount received from CR for printing				\$ 90,000.00	
Amount retained as profit --				\$ (11,626.94)	
				Total	

## (b) RECEIPTS—THINGS OF VALUE

During this 6 month reporting period, have you received any thing of value<sup>4</sup> other than money from any foreign principal named in Items 8, 9 and 10 of this statement, or from any other source, for or in the interests of any such foreign principal?

Yes ☒ No ☐

If yes, furnish the following information:

Name of foreign principal	Date received	Description of thing of value	Purpose
1) Guoji Shudian	April 7, 1984–October 6, 1984	-- Books, periodicals, art items to distribute for profit.	
2) Renmin Ribao	April 7, 1984–October 6, 1984	-- Film plates from which to print daily newspaper to distribute for profit.	
3) China Reconstructs	April 7, 1984–October 6, 1984	-- Film plates and color separation from which to print monthly magazine for profit.	

<sup>3</sup>A registrant is required to file an Exhibit D if he collects or receives contributions, loans, money, or other things of value for a foreign principal, as part of a fund raising campaign. See Rule 201(e).

<sup>4</sup>Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment of competitors, "kickbacks," and the like.

## 15. (a) DISBURSEMENTS—MONIES

During this 6 month reporting period, have you

- (1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 8, 9 and 10 of this statement? Yes ☒ No ☐

- (2) transmitted monies to any such foreign principal? Yes ☐ No ☐

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

February 1, 1984 -- July 31, 1984

Date	To Whom	Purpose			Amount
1) Guoji Shudian:	<u>San Francisco</u>	<u>New York</u>	<u>Chicago</u>	<u>Total</u>	
Purchases	118,807.69	5,235.50	2,617.75	126,660.94	
Customs/Trans/Merch	5,920.13	538.19	269.10	6,727.42	
Commission	2,731.16	-----	-----	2,731.16	
Promo/Adver	20,631.51	362.53	178.42	21,172.46	
Sales/Travel	3,372.61	-----	-----	3,372.61	
Computer	14,937.48	-----	-----	14,937.48	
Support (Rent, etc.)	15,116.43	5,482.62	2,894.14	23,493.19	
Supplies	4,233.27	181.65	120.41	4,535.33	
Postage/shipping	22,798.52	-----	-----	22,798.52	
Legal/insurance	18,117.08	105.39	(78.58)	18,143.89	
Wages/Bene.	95,468.28	5,854.10	2,991.53	104,313.91	
Misc.	3,996.79	402.86	1,024.68	5,424.33	
Remail Exp.	<u>24,891.05</u>	<u>-----</u>	<u>-----</u>	<u>24,891.05</u>	
TOTAL EXPENSES:	351,022.00	18,162.84	10,017.45	379,202.29	
2) Renmin Ribao:					
Subs to RR	10,230.60				
Computer	2,190.83				
Wages/Bene.	6,240.00				
Printing	232,998.48				
Postage	160,580.65				
Misc/Adver.	<u>513.54</u>				
TOTAL EXPENSES:	412,754.10				
3) China Reconstructs:					
Printing	100,145.64				
Misc.	<u>1,481.30</u>				
TOTAL EXPENSES:	101,626.94				

Total

**15. (b) DISBURSEMENTS—THINGS OF VALUE**

During this 6 month reporting period, have you disposed of anything of value<sup>5</sup> other than money in furtherance of or in connection with activities on behalf of any foreign principal named in items 8, 9 and 10 of this statement?

Yes ☐ No ☒

If yes, furnish the following information:

<i>Date disposed</i>	<i>Name of person to whom given</i>	<i>On behalf of what foreign principal</i>	<i>Description of thing of value</i>	<i>Purpose</i>
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**(c) DISBURSEMENTS—POLITICAL CONTRIBUTIONS**

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value<sup>5</sup> in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes ☐ No ☒

If yes, furnish the following information:

<i>Date</i>	<i>Amount or thing of value</i>	<i>Name of political organization</i>	<i>Name of candidate</i>
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**V—POLITICAL PROPAGANDA**

(Section 1(j) of the Act defines "political propaganda" as including any oral, visual, graphic, written, pictorial, or other communication or expression by any person (1) which is reasonably adapted to, or which the person disseminating the same believes will, or which he intends to, prevail upon, indoctrinate, convert, induce, or in any other way influence a recipient or any section of the public within the United States with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party or with reference to the foreign policies of the United States or promote in the United States racial, religious, or social dissensions, or (2) which advocates, advises, instigates, or promotes any racial, social, political, or religious disorder, civil riot, or other conflict involving the use of force or violence in any other American republic or the overthrow of any government or political subdivision of any other American republic by any means involving the use of force or violence.)

16. During this 6 month reporting period, did you prepare, disseminate or cause to be disseminated any political propaganda as defined above? Yes ☒ No ☐

IF YES, RESPOND TO THE REMAINING ITEMS IN THIS SECTION V.

17. Identify each such foreign principal.

- 1) Guoj1 Shudian
- 2) Renmin Ribao
- 3) China Reconstructs

<sup>5</sup>Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

18. During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating political propaganda? Yes ☐ No ☒

If yes, identify each such foreign principal, specify amount, and indicate for what period of time.

19. During this 6 month reporting period, did your activities in preparing, disseminating or causing the dissemination of political propaganda include the use of any of the following:

☐ Radio or TV broadcasts    ☐ Magazine or newspaper articles    ☐ Motion picture films    ☐ Letters or telegrams  
☒ Advertising campaigns    ☐ Press releases    ☐ Pamphlets or other publications    ☐ Lectures or speeches

☐ Other (specify) \_\_\_\_\_

20. During this 6 month reporting period, did you disseminate or cause to be disseminated political propaganda among any of the following groups:

☐ Public Officials    ☐ Newspapers    ☒ Libraries  
☐ Legislators    ☐ Editors    ☒ Educational institutions  
☐ Government agencies    ☐ Civic groups or associations    ☐ Nationality groups  
☐ Other (specify) \_\_\_\_\_

21. What language was used in this political propaganda:

☒ English    ☒ Other (specify) Chinese

22. Did you file with the Registration Section, U.S. Department of Justice, two copies of each item of political propaganda material disseminated or caused to be disseminated during this 6 month reporting period? Yes ☒ No ☐

23. Did you label each item of such political propaganda material with the statement required by Section 4(b) of the Act? Yes ☒ No ☐

24. Did you file with the Registration Section, U.S. Department of Justice, a Dissemination Report for each item of such political propaganda material as required by Rule 401 under the Act? Yes ☒ No ☐

## VI-EXHIBITS AND ATTACHMENTS

### 25. EXHIBITS A AND B

- (a) Have you filed for each of the newly acquired foreign principals in Item 9 the following:

Exhibit A<sup>6</sup>    Yes ☐    No ☐  
 Exhibit B<sup>7</sup>    Yes ☐    No ☐

If no, please attach the required exhibit.

- (b) Have there been any changes in the Exhibits A and B previously filed for any foreign principal whom you represented during this six month period? Yes ☐ No ☒

If yes, have you filed an amendment to these exhibits? Yes ☐ No ☐

If no, please attach the required amendment.

<sup>6</sup>The Exhibit A, which is filed on Form CRM-157 (Formerly OBD-67) sets forth the information required to be disclosed concerning each foreign principal

<sup>7</sup>The Exhibit B, which is filed on Form CRM-155 (Formerly OBD-65) sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

26. EXHIBIT C

If you have previously filed an Exhibit C<sup>8</sup>, state whether any changes therein have occurred during this 6 month reporting period.      Yes ☐      No ☒

If yes, have you filed an amendment to the Exhibit C?      Yes ☐      No ☐

If no, please attach the required amendment.

27. SHORT FORM REGISTRATION STATEMENT

Have short form registration statements been filed by all of the persons named in Items 5 and 7 of the supplemental statement?  
Yes ☐      No ☐      Yes, where required.

If no, list names of persons who have not filed the required statement.

The undersigned swear(s) or affirm(s) that he has (they have) read the information set forth in this registration state. and the attached exhibits and that he is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his (their) knowledge and belief, except that the undersigned make(s) no representation as to the truth or accuracy of the information contained in attached Short Form Registration Statement, if any, insofar as such information is not within his (their) personal knowledge.

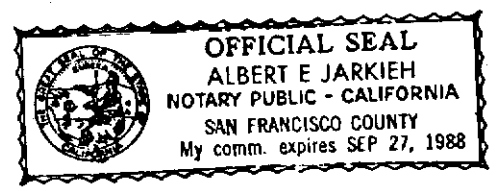
(Type or print name under each signature)

(Both copies of this statement shall be signed and sworn to before a notary public or other person authorized to administer oaths by the agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions who are in the United States, if the registrant is an organization.)

Pam Zumwalt  
Pam Zumwalt, Vice-President

Subscribed and sworn to before me at San Francisco

this 26<sup>th</sup> day of November, 19 84



Albert E. Jarkieh  
(Signature of notary or other officer)

<sup>8</sup>The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, constitution, and bylaws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, Criminal Division, Internal Security Section, U.S. Department of Justice, Washington, D.C. 20530.)

## SENSITIVE MATERIALS LISTING

NO	ITEM	
-CASCLI	A CADRE SCHOOL LIFE: 6 CHAPTERS	27
-CHFRMA	CHINA FROM MAO TO TENG	3
-MAHAYD	MAO'S HARVEST: VOICES... (PB)	2
-HNTIFI	WHEN TIGERS FIGHT	0
0010-3	ANTI-DUHRING (PB)	12
0014-8	CIVIL WAR IN FRANCE (PB)	17
0038-5	ECON PROBLEMS SOCIALISM-USSR	19
0084-7	FIVE ARTICLES - MAO	27
0089-8	FOUNDATIONS OF LENINISM (PB)	46
0113-4	IMPERIALISM, HIGHEST STAGE	276
0123-1	KARL MARX	40
0130-4	LENIN ON WAR & PEACE	35
0141-X	LUDWIG FEUERBACH-GERM PHIL (HB)	2
0146-0	MANIFESTO OF COMM PARTY (PB)	1040
0150-9	MARXISM/PROBLEMS OF LINGUISTIC	98
0151-7	MATERIALISM & EMPIRIO-CRITICISM	32
0186-X	ON NEW DEMOCRACY, ETC.	21
0232-7	ONE STEP FORWARD, TWO BACK (HB)	2
0257-2	POEMS OF MAO TSETUNG (HB)	8
0271-8	PROBLEMS OF LENINISM (PB)	71
0283-1	QUOTATIONS OF MAO - LARGE	97
0284-X	QUOTATIONS OF MAO - SMALL	986
0310-2	REVOL THOUGHT-MARX TO MAO, BOXD	96
0321-8	SELECTED MILITARY WRITINGS(HB)	2
0322-6	SELECTED MILITARY WRITINGS(PB)	22
0323-4	SELECTED MIL WRITINGS (PLASTIC	52
0324-2	SELECTED READINGS OF MAO (HB)	609
0328-5	SELECTED WORKS OF MAO #1 (HB)	0
0329-3	SELECTED WORKS OF MAO #1 (PB)	20
0330-7	SELECTED WORKS OF MAO #2 (HB)	0
0331-5	SELECTED WORKS OF MAO #2 (PB)	7
0332-3	SELECTED WORKS OF MAO #3 (HB)	0
0333-1	SELECTED WORKS OF MAO #3 (PB)	19
0334-X	SELECTED WORKS OF MAO #4 (HB)	0
0335-8	SELECTED WORKS OF MAO #4 (PB)	5
0336-6	SELECTED WORKS OF MAO #5 (HB)	1
0337-4	SELECTED WORKS OF MAO #5 (PB)	12
0338-2	SEL WORKS OF MAO, 5 VOLS (HB)	0
0339-0	SEL WORKS OF MAO, 5 VOLS (PB)	3
0356-0	SIX ESSAYS ON MILITARY AFFAIRS	178
0357-9	SOCIALISM-UTOPIAN & SCIENTIFIC	147
0372-2	STATE AND REVOLUTION	273
0422-2	WAGES, PRICE & PROFIT	134
0426-5	WHAT IS TO BE DONE? (PB)	135
0451-6	FIVE ESSAYS ON PHILOSOPHY	577
0456-7	ON LITERATURE & ART	32
0473-7	ANTI-DUHRING (HB)	3

RUN DATE: 16-NOV-84

SENSITIVE MATERIALS LISTING

NO	ITEM	
0488-5	REVOL/COUNTER REV IN GERM (HB)	0
0524-5	WHAT FRIENDS OF PEOPLE ARE(HB)	0
0529-6	ORIGIN OF FAMILY	60
0545-8	MARX-ENGELS-MARXISM (PB)	15
0547-4	WAGE LABOUR & CAPITAL	40
0561-X	MANIFESTO OF COMM PARTY (HB)	33
0563-6	FOUNDATIONS OF LENINISM (HB)	2
0567-9	WHAT IS TO BE DONE? (HB)	4
0759-0	THREE ESSAYS - LIU SHAOQI (PB)	5
0767-1	THREE ESSAYS - LIU SHAOQI (HB)	0
0841-4	CHINA'S SOCIALIST ECONOMY (PB)	47
0848-1	CHINA'S SOCIALIST ECONOMY (HB)	4
0863-5	RESOLUTION ON CPC HISTORY	83
0888-0	EIGHTH NAT'L CONGRESS OF CPC	2
0923-2	IMPERIALISM & CHINESE POLITICS	9
0932-1	CHINA AND THE WORLD #1	12
0970-4	FIVE ARTICLES (PB)	0
1052-4	MEMOIRS OF A CHI MARSHAL	0
1115-6	CHINA AND THE WORLD #2	22
1144-X	CHINA AND THE WORLD #3	22
1145-8	FIFTH SESSION-5TH NPC	3
1160-1	6TH 5-YEAR PLAN OF PRC 1981-85	42
1180-6	SEL WORKS OF LIU SHAOQI (HB)	0
1181-4	SEL WORKS OF LIU SHAOQI (PB)	7
1184-9	1ST SESSION OF 6TH NPC	14
1215-2	CHINA & THE WORLD #1-#3	4
1263-2	CHINA & THE WORLD #4	19
1282-9	TIBET: TODAY & YESTERDAY	3
1305-1	SEL WORKS OF DENG XIAOPING(PB)	68
1306-X	HISTORIC VISIT TO JAPAN	6
1359-0	2ND SESSION OF 6TH NPC	9
1406-6	CHINA AFTER MAO (CHI TODAY#8)	4
1421-X	SINO-BRIT. JNL. DECLAR. -H. K.	4

80 SENSITIVE ITEMS ON FILE

Title